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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

## Complete if Known

Application Number	09/944,788
Filing Date	August 31, 2001
First Named Inventor	Alfonso Valdes
Art Unit	3621
Examiner Name	Sherr, Cristina O.
Attorney Docket Number	10454-022001 (SRI/4190-4)

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	JOINT CLAIM CONSTRUCTION STATEMENT, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems, Inc., a Georgia Corporation, and Symantec Corporation a Delaware Corporation, (13 pages), Certificate of Service dated March 17, 2006	
	C2	SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, SRI International, Inc., a California Corporation v. Internet Security Systems, Inc., a Delaware Corporation, Internet Security Systems, Inc., a Georgia Corporation and Symantec Corporation, a Delaware Corporation, pp 1-22, Dated November 15, 2005	
	C3	EXHIBIT A-1 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, EMERALD: EVENT MONITORING ENABLING RESPONSES TO ANOMALOUS LIVE DISTURBANCES, "EMERALD 1997", EMERALD 1997 INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp. 1-51, November 15, 2005.	
	C4	EXHIBIT A-2 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, ANALYSIS AND RESPONSE FOR INTRUSION DETECTION IN LARGE NETWORKS: SUMMARY FOR CMAD WORKSHOP "EMERALD-CMAD", EMERALD - CMAD INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) AND/OR 103, pp.1-33, November 15, 2005.	
	C5	EXHIBIT A-3 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, EMERALD: EVENT MONITORING ENABLING RESPONSES TO ANOMALOUS LIVE DISTURBANCES - CONCEPTUAL OVERVIEW, "EMERALD- CONCEPTUAL OVERVIEW", EMERALD - CONCEPTUAL OVERVIEW INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) AND/OR 103, pp.1-34, November 15, 2005.	
	C6	EXHIBIT A-4 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, CONCEPTUAL DESIGN AND PLANNING FOR EMERALD: EVENT MONITORING ENABLING RESPONSES TO LIVE DISTURBANCES, "EMERALD - CONCEPTUAL DESIGN 1997", EMERALD - CONCEPTUAL DESIGN 1997 INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b) AND/OR 103 pp.1-56, November 15, 2005.	
	C7	EXHIBIT A-5 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, LIVE TRAFFIC ANALYSIS OF TOP/PIP GATEWAYS, "EMERALD - LIVE TRAFFIC ANALYSIS", EMERALD - LIVE TRAFFIC ANALYSIS INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp.1-44, November 15, 2004.	
	C8	EXHIBIT A-6 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, NEXT GENERATION INTRUSION DETECTION EXPERT SYSTEM (NIDES) A SUMMARY, "NETWORK NIDES", NETWORK NIDES INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp.1-40, November 15, 2005	
Examiner Signature	/Cristina Sherr/		Date Considered 09/12/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Applicant's unique citation designation number (optional). \* Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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	C9	EXHIBIT A-7 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, SCALABLE INTRUSION DETECTION FOR THE EMERGING NETWORK, "JI-NAO", EACH OF JI-NAO AND JI-NAO SLIDES INVALIDATE THE INDICATED CLAIMS UNDER 5 U.S.C. § 102 (b), pp.1-76, November 15, 2005.	
	C10	EXHIBIT A-8 TO THE SYMANTEC CORPORATION'S SECOND SUPPLEMENTAL RESPONSES TO SRI INTERNATIONAL, INC.'S INTERROGATORIES NOS. 6 AND 11, A NETWORK SECURITY MONITOR, "NSM", NSM INVALIDATES THE INDICATED CLAIMS UNDER 35 U.S.C. § 102 (b), pp. 1-9, November 15, 2005.	
Examiner Signature	09/12/2008 /Cristina Sherr/	Date Considered	09/12/2008

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This collection of information is required by 37 C.F.R. 1.98. \* Information is received to obtain a patent is confidential to the USPTO (to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R. 1.98. \* Information is restricted to take 2 years to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will be depending upon the complexity of the case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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